

# 1 **Sex in the wild: why field-based studies play a** 2 **critical role in resolving the problem of sex**

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## 38 39 **Author contributions**

40 SM and MN conceived the paper idea, SM, PM, MN, and TS designed the review strategy,  
41 reviewed and analysed the literature, and wrote the manuscript. All authors gave final approval  
42 for publication.

43 **Abstract**

44 Why and how sexual reproduction is maintained in natural populations, the so-called “queen of  
45 problems”, is a key unanswered question in evolutionary biology. Recent efforts to solve the  
46 problem of sex have tended to emphasize results generated from laboratory settings and  
47 traditional model systems. Here, we use a literature survey of representative “sex in the wild”  
48 literature to argue that even though these laboratory-based studies have provided important  
49 insights, understanding the maintenance of sex ultimately requires an empirical focus in natural  
50 populations. Our survey revealed several substantial ways in which field-based research into the  
51 maintenance of sex has departed from the emphasis and outcomes of laboratory studies,  
52 including relatively strong support for mechanisms involving niche differentiation and an almost  
53 complete absence of attention towards adaptive evolution. We conclude by suggesting steps  
54 forward, emphasizing tests of niche differentiation mechanisms and adaptive evolution-focused  
55 hypotheses in the wild and leveraging the growing body of genomic resources for non-model  
56 taxa to address whether molecular evolution in natural populations proceeds as expected under  
57 various hypotheses for sex.

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59

## 60 **Introduction**

61 Ask evolutionary biologists about unresolved problems in evolution, and many will question  
62 why so many species reproduce via sexual reproduction (“sex”). The predominance of sex was  
63 first identified as a major unanswered question by leading twentieth century evolutionary  
64 biologists such as George Williams and John Maynard Smith, who developed theory  
65 demonstrating that sexual reproduction should be at a substantial disadvantage vis-à-vis asexual  
66 reproduction and thus be rapidly replaced by the latter (Maynard Smith 1971, 1978, Williams  
67 1975). This theory is based on the recognition that sex should impose a variety of costs (e.g.,  
68 males, recombination, for a recent overview see Lehtonen et al. 2012, Meirmans et al. 2012) that  
69 should translate into major advantages for asexual reproduction. The central place of the problem  
70 of sexual reproduction in evolutionary theory is illustrated by Graham Bell’s 1982 statement that  
71 the maintenance of sex is the “queen of problems” in evolutionary biology (Bell 1982). Within a  
72 decade or so after the paradox of sex was first identified, dozens of hypotheses for sex had been  
73 proposed (Kondrashov 1993). Most of these hypotheses focused on direct or indirect benefits of  
74 sexual reproduction that can outweigh (at least in principle) the costs of sex (reviewed in Neiman  
75 and Schwander 2011) and that are linked to genetic consequences of meiotic recombination and  
76 segregation (e.g., Agrawal 2009a,b). Despite all of this attention and the forty or so years that  
77 have passed since the problem of sex was identified, the evolutionary mechanisms underlying the  
78 maintenance of sex remain hotly debated (e.g., Sharp and Otto 2016, Neiman et al. 2017).

79         The crux of the problem of the maintenance of sex is the persistence of sexual  
80 reproduction in so many species, and especially the maintenance of sex in natural populations of  
81 organisms for which asexual reproduction is an option. This means that natural populations are  
82 ultimately the empirical setting in which to seek solutions to sex. While the critical importance of

83 field-based research to the resolution of the problem of sex might seem obvious, most recent  
84 attention towards empirical tests of the maintenance of sex has focused on results generated by  
85 experimental evolution in laboratory settings. Laboratory studies are very powerful because the  
86 processes underlying the focal mechanisms can be manipulated and isolated. Indeed, these lab-  
87 focused studies, which nearly always use genetic model systems (e.g., *Drosophila melanogaster*  
88 (Singh et al. 2015), *Saccharomyces cerevisiae* (McDonald et al. 2016), *Tribolium castaneum*  
89 (Lumley et al. 2015), *Brachionus calyciflorus* (Becks and Agrawal 2012)), have provided  
90 important tests of the potential for particular mechanisms for sex to be applicable under specific  
91 circumstances (e.g., sexual selection-facilitated clearance of mutational load, strong selection for  
92 adaptation to new environments; reviewed in Sharp and Otto 2016).

93         Though we agree that laboratory studies are valuable, it should be obvious that these  
94 studies are ultimately limited by the distinct possibility that their results are confounded by  
95 influences emerging from the lab setting itself. In other words, it can be difficult to impossible to  
96 determine whether the outcomes of lab-based studies can be extrapolated to natural conditions,  
97 exemplified by a recent example of a case where field and lab studies addressing the same  
98 mechanisms for sex generated opposite results (Lavanchy et al. 2016). The solution to the  
99 challenge posed by the limitations of laboratory-focused research is conceptually simple but  
100 often empirically challenging: (1) determine whether the patterns or processes that emerged from  
101 laboratory insights apply similarly in natural populations, and (2) use field studies to  
102 parameterize or focus laboratory experiments.

103         There are some specific issues that are likely to be particularly difficult to address in a  
104 laboratory setting. For example, most laboratory studies use model organisms (typically small  
105 organisms with short generation times), which do not represent the diversity of eukaryotes.

106 Model organisms –often the products of decades of laboratory culture– are also almost certainly  
107 adapted to laboratory conditions (e.g., Sterken et al. 2015). Another limitation of laboratory  
108 studies is that factors that are typically present in nature and likely relevant to the realized costs  
109 and benefits of sexual reproduction are often difficult to replicate in the lab. Examples of such  
110 factors include naturally occurring and locally adapted parasites and predators, extreme abiotic  
111 conditions, and unpredictable environmental changes. Even efforts to include these factors in  
112 laboratory experiments could in principle translate into conditions that are unlikely to  
113 characterize natural populations, e.g., unrealistically high doses of infectious parasites or the  
114 imposition of direct competition between sexual and asexual individuals that experience niche  
115 differentiation in the wild.

116         Here, we argue that these limitations of lab-based studies mean that field-focused  
117 research must play a critical role in the resolution of the problem of sex. It is our hope that a  
118 useful and synthetic overview of these “sex in the wild” studies will both provide some of the  
119 credit that this body of work deserves and motivate researchers to continue and expand this type  
120 of empirical research. Our overview –the first of which we are aware since Bell (1982)–  
121 addresses this goal by performing a survey of relevant empirical literature on "sex in the wild" to  
122 (1) assess the contributions of field studies towards resolving the sex problem, (2) identify what  
123 we have learned from these studies and what we still need to know, and (3) provide some  
124 concrete steps forward.

125

## 126 **Methods**

### 127 *Literature survey approach*

128 We began our survey by establishing a set of *a priori* criteria for study inclusion. These criteria  
129 were formulated with the goal of only including studies that could directly inform the  
130 maintenance of sex in natural populations. First of all, this meant that the study had to be  
131 performed in the field or use field-collected individuals that were not subsequently subject to the  
132 potential for selection in a laboratory environment. We included studies with laboratory-reared  
133 individuals only in those cases where lab rearing was not likely to influence the factors that are  
134 the focus of testing (e.g., establishment of phylogenetic relationships). We therefore excluded  
135 studies where the potential for laboratory-imposed selection could confound the ability to  
136 interpret the study outcome, e.g. via laboratory-cultured lineages (e.g., Xu et al. 2011).

137         Second, we decided to confine our survey to studies explicitly focused on testing or  
138 investigating a particular mechanism for the maintenance of sex. We chose to adopt this  
139 mechanism-centered approach because this type of research takes place in a structured  
140 framework that facilitates meaningful comparisons among studies. Our strategy does have some  
141 limitations in excluding other valuable types of studies, including but not limited to evaluation of  
142 population genetic principles in natural populations (e.g., Menken et al. 1995, Lo et al. 2009),  
143 establishing the biogeographic distribution of sexual vs. asexual reproduction (e.g., geographic  
144 parthenogenesis; see Tilquin and Kokko 2016 for a recent overview), or describing unusual  
145 natural manifestations of reproductive strategies (e.g., Aanen et al. 2016).

146         Finally, we chose to only include those studies that featured obligately asexual  
147 individuals and facultatively or obligately sexual individuals that are sympatric in nature in at

148 least part of their range. We made this choice because in these species, the maintenance of sex is  
149 an active problem. We excluded all studies of sperm-dependent asexual taxa (e.g., *Ambystoma*  
150 mole salamanders, *Ips* bark beetles, *Rubus* subgenus *Rubus* blackberries) because all-asexual  
151 populations of sperm-dependent organisms are not evolutionarily stable.

152 We then classified and described each study according to the question/hypothesis that the  
153 study addressed (as outlined below), the methods used to address study goals, the study  
154 outcomes, whether the study supported or did not support the focal question/hypothesis, and the  
155 taxonomic group that the study represented (see supplementary material; Table S1). We included  
156 an “Other” category to account for studies that addressed mechanisms or conditions with the  
157 potential to favor sex that did not easily fit under the umbrella of an established hypothesis (e.g.,  
158 reproductive assurance, which is largely confined to asexuals but needs to be tested by  
159 comparing sexuals and asexuals). We classified the species by class, following the U.S.  
160 Interagency Taxonomic Information System; when species from multiple classes were  
161 addressed, we used the lowest shared taxonomic level.

162 We included individual studies in more than one hypothesis category if (a) the study  
163 found evidence for or against multiple hypotheses or (b) whether and how the study tested  
164 hypotheses was not clear enough to identify a single hypothesis that was the focus of the paper.  
165 In the latter situation, we assessed each study carefully and then assigned the study to each  
166 hypothesis that in our view was tested by the study design and/or was supported by the evidence  
167 delivered by the study. We termed each incidence of a distinct test of a distinct hypothesis a  
168 “case”, which meant that some studies are represented by more than one case. We use this  
169 terminology consistently throughout the rest of the paper. We concluded our survey at the end of  
170 the summer of 2017, meaning that only papers published by this time were able to be included.

171 *Categories of hypotheses for sex*

172 Sex, via segregation and recombination, breaks up linkage disequilibria (LD) within and across  
173 loci (Hill and Robertson 1966). This consequence of sexual reproduction is the reason that most  
174 hypotheses for the maintenance of sex focus on identifying conditions or situations associated  
175 with benefits of breaking up LD. Theoretical analyses have highlighted situations where  
176 selection changes over time and over space or when linkage is generated by the combination of  
177 selection and drift as the conditions that are most likely to produce such benefits (Barton 2009).  
178 Field-based studies of sex that address benefits of LD breakup have typically focused on  
179 ecological situations (e.g., coevolving parasites or spatially structured niches, see below) that are  
180 expected to translate into changes in selection over space or time, but do not generally establish  
181 explicit links to the genetic mechanisms conferring benefits to sex. For this reason we *a priori*  
182 delineated the major hypotheses for sex by ecological mechanisms or scenarios that can generate  
183 or are associated with changes in selection.

184 We acknowledge that some of these categories feature conceptual overlap (e.g.,  
185 “parasites” and “increased rate of adaptive evolution”, from the perspective that sexuals that can  
186 adapt more quickly to parasites might be at an advantage), that other categories could be  
187 combined to make even broader categories (e.g., all niche-based hypotheses; all hypotheses  
188 generated by genetic linkage), and that not all evolutionary biologists would necessarily produce  
189 the same classification system. We decided to separate hypotheses involving disadvantages to  
190 asexual lineages via reduced rate of adaptive evolution and increased rate of accumulation of  
191 harmful mutations into separate categories because, even though both phenomena are the  
192 consequence of the reduction in efficacy of selection at linked sites in the absence of  
193 recombination (Hill-Robertson effect; Hill and Robertson 1966, Felsenstein 1974), different



194 empirical methods are typically applied to detect evidence for ineffective adaptive evolution vs.  
195 ineffective purifying selection.

## 196 *Parasites*

197 Biological antagonism –what we henceforth refer to as “parasites” or parasite pressure”– is  
198 potentially connected to the maintenance of sex because parasites can generate rapid changes in  
199 the direction of selection. Most prominent of the parasite mechanisms is the hypothesis that  
200 parasites can help maintain sex by imposing negative frequency-dependent selection favouring  
201 rare host genotypes (the “Red Queen”; Bell 1982, Jaenike 1978, Hamilton 1980). Links between  
202 sex and biological antagonism can be driven by other mechanisms (e.g., Haafke et al. 2016),  
203 though the Red Queen-sex connection seems to be the most theoretically robust (e.g., Hamilton  
204 et al. 1990, Howard and Lively 1994, Peters and Lively 1999) and has received more empirical  
205 attention and support than other parasite-driven mechanisms for sex (reviewed in Neiman and  
206 Koskella 2009, Lively and Morran 2014).

## 207 *Rate of adaptive evolution*

208 Selection works most effectively if beneficial and deleterious mutations occur in different  
209 individuals because these individuals should experience larger differentials in relative fitness  
210 than when mutations co-occur within individuals (Hill and Robertson 1966). Over time, drift in  
211 the presence of selection may therefore lead to the accumulation of individuals where beneficial  
212 and harmful alleles are linked. Sex can generate benefits in this situation in two ways: by  
213 immediately exposing ‘hidden variation’ (both beneficial and harmful) to selection and by  
214 enabling more effective adaptive evolution at longer time scales.

215 *Harmful mutations*

216 Hill-Robertson effects are expected to translate into increased rates of harmful mutation  
217 accumulation via relatively ineffective purifying selection in asexual vs. sexual lineages.  
218 Muller's ratchet, which will cause irreversible mutation accumulation in small populations, is  
219 also expected to disproportionately affect asexual lineages (Muller 1964).

220 *Niche differentiation*

221 One of the simplest mechanisms that enables coexistence between asexuals and their sexual  
222 relatives is niche differentiation. In the most extreme case of non-overlapping sexual and asexual  
223 niches, there is no competition between sexuals and asexuals, rendering costs of sex irrelevant  
224 (Meirmans et al. 2012). Perhaps because of its simplicity, there exist only a few theory-focused  
225 papers on this topic. One exception is the modelling study by Case and Taper (1986), who  
226 showed that niche differentiation can arise through character displacement after invasion of a  
227 sexual population by an asexual lineage. In practice, however, this hypothesis is difficult to test:  
228 it is challenging to estimate the degree of niche overlap in natural populations and to determine  
229 whether the observed degree of niche differentiation between sexuals and asexuals is enough to  
230 prevent competition-driven extinction of one of the reproductive modes.

231 *Coverage of niche space*

232 There are a variety of formulations of the overarching hypothesis that sex can be maintained in  
233 situations where sexual individuals, lineages, or populations cover larger fractions of the  
234 available niche space than asexual counterparts. The most prominent example is the Tangled  
235 Bank hypothesis (Bell 1982), which postulates that sexual reproduction can be favored by

236 generating a genetically diverse set of offspring that can make efficient use of a heterogeneous  
237 habitat via reduction in competition between siblings for limited resources. Asexually produced  
238 siblings, on the other hand, will compete for these same resources because they are genetically  
239 similar (also predicted by the conceptually similar frozen niche concept (Vrijenhoek 1979). This  
240 advantage of sexual reproduction is increasingly offset as asexual lineage diversity increases,  
241 assuming that higher asexual diversity translates into more variable resource utilization by the  
242 asexual population.

## 243 **Results**

244 Our literature survey of 66 studies (83 cases; some studies focused on multiple mechanisms)  
245 addressing the mechanisms underlying the maintenance of sex in the wild revealed some clear  
246 patterns (Table 1). First, there is a distinct majority of support (56 cases) vs. lack of support (27  
247 cases), perhaps reflecting a publication bias towards positive results. The parasite and niche  
248 differentiation hypotheses predominated amongst the studies featuring positive results; we  
249 elaborate on these and other hypothesis-specific patterns below. Our survey also clearly showed  
250 that some taxa have been the focus of far more investigation than others: the vast majority of  
251 studies involved animal systems (54 studies; 82%), which themselves were dominated by  
252 gastropods (23 studies), branchiopods (eight studies), reptiles (eight studies), and insects (six  
253 studies). The remaining 16% of the studies were in plants (11 studies; all  
254 Magnoliopsida/angiosperms) and fungi (one study). Some systems were particularly heavily  
255 represented. For example, the gastropod *Potamopyrgus antipodarum* was featured in 16 studies  
256 (see supplementary material, Table S1, for an overview). Other taxa common in our survey  
257 included the branchiopod *Daphnia pulex* (seven studies), the gastropod *Melanooides tuberculata*

258 (four studies), and the angiosperm *Taraxacum officinale* (four studies). Only two studies applied  
259 a broad taxonomic approach, using comparisons of the ecologies of hundreds of sexual and  
260 asexual taxa (Ross et al. 2013, van der Kooi et al. 2017) to detect associations with, or  
261 consequences of, reproductive mode variation that apply across taxa.

## 262 *Parasites*

263 Our search revealed 30 cases (representing 29 studies; one study with mixed results contributed  
264 two cases) that considered the maintenance of sex from the perspective of selection imposed via  
265 parasitism, by far the most frequently tested of the five main hypothesis categories that we  
266 distinguished. Twenty of these cases reported results consistent with the expectations of  
267 situations where parasites are contributing to the maintenance of sex. Nearly all cases (27/30)  
268 focused at least in part on the Red Queen. A distinct majority of these Red Queen cases (15/27)  
269 involved the interaction between the snail *Potamopyrgus antipodarum* and the trematode parasite  
270 *Microphallus* 'livelyi'. The three parasite-focused papers that did not explicitly address the Red  
271 Queen considered broader formulations of the parasite/antagonism hypothesis (e.g., "parasitism  
272 rate", "herbivory").

## 273 *Rate of adaptive evolution*

274 Our survey identified only three cases that addressed rates of adaptive evolution in the context of  
275 the maintenance of sex. All three of these cases took what we viewed as indirect approaches to  
276 this question, addressing whether sexuals were more often found in unpredictable abiotic  
277 environments that would likely demand rapid adaptation relative to the environments harboring  
278 asexual counterparts. Two ostracod-focused cases reported evidence consistent with this  
279 prediction, with sexual ostracods associated with relatively harsh and unpredictable

280 environments (Schmit et al. 2013a,b). By contrast, parasites seemed to be a more likely  
281 explanation of patterns of distribution of sexual vs. asexual New Zealand freshwater snails than  
282 rapidly changing abiotic components of the environment (Lively 1987). Direct empirical  
283 evaluation of whether sexual organisms feature higher rates of adaptive evolution than their  
284 asexual relatives in natural populations clearly deserves future attention. Despite ample evidence  
285 for the importance of drift in natural populations (e.g., all studies supporting the ‘mutation  
286 accumulation’ mechanism), we found no field-based studies that investigated whether sex  
287 generates short-term benefits by exposing hidden genetic variation to selection.

### 288 *Mutation accumulation*

289 The prediction that deleterious mutations accumulate more rapidly in asexual than sexual  
290 lineages has been tested in a number of different organisms. In most cases (14 out of 19 cases;  
291 Table 1) there was support for the hypothesis that asexuals do accumulate deleterious mutations  
292 more rapidly than sexual lineages. Even so, nearly all (15/19) cases are based on a handful of  
293 genes, raising the question of the extent to which these results are likely to hold for the genome  
294 as a whole. Only four studies thus far have extended analyses of deleterious mutation  
295 accumulation to the genome scale (Hollister et al. 2015, Ament-Velásquez et al. 2016, Brandt et  
296 al. 2017, Lovell et al. 2017). Three of these studies (Hollister et al. 2015, Ament-Velásquez et al.  
297 2016, Lovell et al. 2017) found that, as expected, asexuals had a higher load of deleterious  
298 mutations than sexuals. The fourth study (Brandt et al. 2017) found that *sexual* taxa experienced  
299 more mutation accumulation than asexual counterparts. This latter study focused on asexual  
300 lineages that are extremely old (tens of million years since derivation from sexual ancestors),  
301 suggesting that the absence of deleterious mutation accumulation may have contributed to the  
302 long-term persistence of these lineages in the absence of sex.

### 303 *Niche differentiation*

304 We identified 17 cases that considered the maintenance of sex from the perspective of niche  
305 differentiation. Together with harmful mutations, these studies featured the broadest taxonomic  
306 support of the hypothesis categories, representing six of the 11 taxonomic classes in Table 1.  
307 Tests for niche differentiation were most common in angiosperms, representing six of 17 cases.  
308 Thirteen of these 17 cases found at least some support for niche differentiation between sexuals  
309 and asexuals. Only three of these cases took the critical and perhaps most challenging additional  
310 step of determining whether the observed niche differentiation is enough to eliminate  
311 competition between sexuals and asexuals (O'Connell and Eckert 2001, Lehto and Haag 2010,  
312 Schmit et al. 2013a). All three cases did indeed suggest that niche differentiation between  
313 sexuals and asexuals was substantial enough that direct competition is unlikely, which should in  
314 turn resolve the problem of sex by rendering costs of sex irrelevant.

### 315 *Coverage of niche space*

316 We identified eight cases where niche breadth was compared between asexual taxa and sexual  
317 counterparts, representing five taxonomic groups. In all but one case, the asexuals were  
318 characterized by broader niches than their sexual relatives. While most of these studies just  
319 focused on one or a few systems, the two studies that evaluated hundreds of species reported the  
320 same pattern (Ross et al. 2013, van der Kooi et al. 2017). Because the maintenance of sex via  
321 differential coverage of niche space requires that *sexuals* cover more niche space (and not, as  
322 found here, asexuals), our survey does not support the idea that niche-coverage related  
323 hypotheses will provide substantial explanatory power with respect to the maintenance of sex in  
324 the wild.

## 325 **Discussion**

326 Our survey revealed that the conceptual focus and outcomes of field-focused studies on the  
327 maintenance of sex were different from their lab-focused counterparts, emphasizing a critical  
328 need for continued empirical research on sex in natural settings. Perhaps most prominently, our  
329 survey results highlight an important role for niche differentiation mechanisms in the  
330 maintenance of sex. This finding is also consistent with the many examples of geographical  
331 parthenogenesis and the observation that sexual and asexual individuals or lineages often differ  
332 in other key elements of their biology or ecology (e.g., hybrid status, polyploidy, production of  
333 resting eggs) (see also Meirmans et al. 2012). Evidence for the potential importance of niche  
334 differentiation from the field is striking in light of what seems like the near absence of laboratory  
335 studies focused on this mechanism. Indeed, we are not aware of any laboratory-focused study  
336 that has specifically addressed niche differentiation. While we do not know why this hypothesis  
337 has received so little attention in the laboratory, we speculate that the fact that niche  
338 differentiation departs from most other prominent hypotheses for sex in the absence of a specific  
339 advantage generated by or associated with sexual reproduction might be a contributing factor.

340 In contrast to niche differentiation, there was very little support for the related but distinct  
341 niche space-coverage mechanisms such as Tangled Bank (Bell 1982). While these niche space-  
342 focused mechanisms have been the focus of quite a bit of theoretical attention (e.g., Bell 1982,  
343 Case and Taper 1986, Pound et al. 2002), these mechanisms seem to have very little empirical  
344 backing: in our survey, we found seven examples of negative results and only one example of  
345 support among the eight niche-space focused cases in our survey. This finding is in agreement  
346 with the general sentiment from earlier sex-focused research that revealed little evidence for  
347 Tangled Bank-like mechanisms (Ellstrand and Antonovics 1985, Burt and Bell 1987).

348 Another striking difference between our results and the typical focuses and outcomes of  
349 lab-based studies on the maintenance of sex is with respect to the role (or lack thereof) of an  
350 increased rate of adaptive evolution in sexual vs. asexual organisms. While there is substantial  
351 theoretical (Barton 1995, Burt 2000) and lab-based (e.g., Kaltz and Bell 2002, Goddard et al.  
352 2005, McDonald et al. 2016) support for an important role for sexual reproduction in facilitating  
353 adaptive evolution, we only found three field-based studies that directly addressed whether  
354 sexuals have an adaptability advantage relative to asexual counterparts. One possible explanation  
355 for this distinct difference in focus between laboratory and field-focused research on the  
356 maintenance of sex is that adaptability hypotheses are perceived to be difficult to rigorously test  
357 in the wild and are thus difficult to propose, fund, or execute. We also cannot exclude the  
358 possibility that publication bias (e.g., the challenges in publishing negative results) contributes to  
359 this pattern. Finally, the possibility remains that the necessarily artificial nature of lab-based  
360 studies may enhance or bias results and explain –at least in part– the different focuses and  
361 outcomes of laboratory vs. field-focused research.

362 Most of the positive evidence in our survey comes from studies addressing the Red  
363 Queen hypothesis, a particular formulation of the parasite hypothesis for sex. A distinct majority  
364 of these Red Queen-focused studies found evidence that parasite-host interactions contribute to  
365 the maintenance of sex. While the relatively large number and generally positive outcome of  
366 these studies might be taken as evidence that the Red Queen can provide a general explanation  
367 for the maintenance of sex in natural populations, the fact that most of these cases (15/ 27;  
368 ~55%) involve a single study system, *Potamopyrgus antipodarum*, means that this conclusion  
369 would be premature. The issues posed by dominance of a particular study system with respect to  
370 tests of a particular hypothesis are highlighted by the fact that 15/15 cases in the *Potamopyrgus*



371 system are consistent with the expectations of the Red Queen, compared to only five of 12 cases  
372 from other taxa (see Table S1 for more details). In general, our take-home message is that  
373 emergence of any mechanism as one that confers broad explanatory power for understanding sex  
374 in nature will require support from a diverse array of natural systems.

375         While many cases (12/17) demonstrate at least some support for mutation accumulation  
376 hypotheses, most of the confirmatory evidence comes from only three study systems: *Daphnia*,  
377 *Potamopyrgus*, and *Campeloma*. All directly relevant genome-scale analyses of deleterious  
378 mutation accumulation of which we are aware have found considerable among-gene variation  
379 with respect to the rate of deleterious mutation accumulation in sexual and asexual lineages.  
380 Because of this among-gene variation, the inferences generated by the 15 cases that only  
381 included a handful of genes must be viewed with caution. Indeed, one of the four cases that did  
382 investigate mutation accumulation at the whole-genome scale in the wild found that, contrary to  
383 predictions, sexual taxa experienced more mutation accumulation (Brandt et al. 2017). Finally,  
384 although there appears to be some tentative general support for deleterious mutation  
385 accumulation in asexual lineages, it is important to note that even broad support for this  
386 mechanism is unlikely to explain the maintenance of sex. The reason for this caveat is that  
387 mutation accumulation generates a long-term disadvantage for asexuality, whereas short-term  
388 advantages are required for the maintenance of sex within populations (Maynard Smith 1978).

389         No single mechanism emerged as being important to the maintenance of sex across all  
390 natural systems included in our survey. This result provides indirect support for the idea that  
391 different mechanisms for sex might be important for different taxa (see also Neiman et al. 2017).  
392 While the notion that multiple mechanisms are of relevance to the maintenance of sex in nature  
393 would not surprise most of the researchers who study this topic, our survey results emphasize the

394 importance of including a variety of taxa and considering multiple mechanisms when studying  
395 the maintenance of sex. Direct tests of the importance of this type of pluralism are conceptually  
396 simple but logistically challenging: the simultaneous study of the importance of multiple  
397 mechanisms across a diverse array of appropriate taxa in natural settings. The related but distinct  
398 point regarding the existence of a variety of different evolutionary “schools” concerning the  
399 maintenance of sex (Gouyon 1999, Meirmans and Strand 2010) also highlights the value of  
400 research on the same mechanisms or systems by multiple independent investigator groups.

#### 401 *Outlook*

402 Important steps forward for field-based research on the maintenance of sex will ideally come  
403 from several angles, which should perhaps most prominently include rigorous evaluation of the  
404 Red Queen hypothesis for sex in a diverse array of systems and direct tests for adaptive evolution  
405 (especially short-term effects) in natural settings. Especially insightful results with respect to the  
406 latter could be obtained from field experiments where sexuals and asexuals are transferred to (1)  
407 relatively harsh and/or unpredictable habitats, and (2) a relatively stable habitat, and where  
408 adaptation to the environment is tracked over multiple generations. Other useful tests could come  
409 from creative leveraging of unpredictable events (e.g., floods, fire, or even climate change) that  
410 might be expected to enable the detection of rapid adaption. Finally, researchers could evaluate  
411 whether sex generates short-term benefits via the exposure of hidden genetic variation to  
412 selection by comparing genetic variation for fitness in naturally occurring and coexisting sexual  
413 and asexual organisms over multiple generations.

414         With respect to other mechanisms for the maintenance of sex, our study suggests that  
415 broader attention to niche differentiation mechanisms would likely turn out to be fruitful. In  
416 particular, the application of mesocosm approaches, which can come closer to reflecting natural

417 complexity, could bridge field and laboratory insights (e.g., Ganz and Ebert 2010). Throughout,  
418 we expect that an increasing availability of genomic resources for non-model taxa that have  
419 achieved prominence as the focus of “sex in the wild” studies (e.g., *Potamopyrgus antipodarum*  
420 (Bankers et al. 2017); *Taraxacum officinale*, E. Schranz pers. comm.) will provide a critical  
421 means of testing hypotheses for sex that make specific predictions regarding molecular  
422 evolution. We also believe that “pluralist” approaches that explicitly consider the possibility that  
423 different mechanisms might be important for different taxa or that separate mechanisms can  
424 operate simultaneously or in concert will also provide key advances (recently reviewed in  
425 Neiman et al. 2017).

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431

## 432 **Data archival location**

433 The results of our literature survey are provided as electronic supplementary material.  
434

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687 342.

688 **Table Caption**

689 Table 1. Summary of the results of our literature survey. We list the number of studies that  
 690 provided support (**green**) or no support (**red**) for the different categories of hypotheses for sex,  
 691 separated by taxonomic group. In total, 66 studies and 83 cases were included; studies that tested  
 692 multiple hypotheses or included multiple taxonomic groups were counted as “cases” multiple  
 693 times. Numbers in brackets after the name of the taxonomic group indicate the number of studies  
 694 for each group.

695

Class/clade	Parasites	Rate of adaptive evolution	Harmful Mutations	Niche differentiation	Coverage of niche space	Other
Reptiles (8)	2 / 1	-	-	2 / 2	0 / 2	-
Insects (6)	0 / 2	-	2 / 1	-	0 / 2	-
Branchiopods (8)	0 / 1	-	4 / 0	2 / 0	0 / 1	1 / 0
Ostracods (4)	0 / 1	2 / 0	-	2 / 1	0 / 2	-
Arachnids (1)	-	-	0 / 1	-	-	-
Arthropods; general (1)	-	-	0 / 1	-	-	-
Gastropods (23)	17 / 4	0 / 1	4 / 0	1 / 0	1 / 0	3 / 1
Rotifers (2)	-	-	1 / 1	-	-	-
Anopla (1)	-	-	1 / 0	-	-	-
Magnoliopsida (11)	2 / 0	-	2 / 1	5 / 1	-	1 / 0
Fungi (1)	-	-	-	1 / 0	-	-
TOTAL	21 / 9	2 / 1	14 / 5	13 / 4	1 / 7	5 / 1

696